

DIVISION

*Jeffrey*

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                              |                     |                  |              |
|------------------------------|---------------------|------------------|--------------|
| In re patent application of: | 2006 DEC 11 PM 4:07 | Docket No.:      | 1100550-5012 |
| Jennifer Hoyt LALLI, et al.  |                     | Confirmation No. | 7374         |
| Serial No.:                  | 10/774,683          | Group Art Unit:  | 1711         |
| Filed:                       | February 10, 2004   | Examiner:        | Thao T. Tran |

**For: RAPIDLY SELF-ASSEMBLED THIN FILMS AND FUNCTIONAL DECALS**

United States Patent and Trademark Office  
Customer Service Window, Mail Stop 16  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314

**REQUEST FOR REFUND**

Sir:

The Attorneys associated with Deposit Account No. 23-1951 (McGuireWoods LLP) respectfully request a refund of fees in the amount of \$620.00, which was debited from Attorney's Deposit Account No. 23-1951 on November 3, 2006, in connection with the extension for response within the second month and request for continued examination fees for the above-identified application (see attached Monthly Statement of Deposit Account). Please refund the fee amount of \$620.00 directly to Attorney's Deposit Account No. 23-1951.

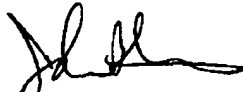
**STATEMENT OF FACTS**

- On November 2, 2006 Applicant electronically filed an extension for response within the second month, and request for continued examination with the U.S. Patent and Trademark Office and authorized the fees to be charged once to Deposit Account No. 23-1951 (copy of the Electronic Acknowledgement Receipt is attached with RAM Confirmation No. 471).

- On November 3, 2006 Attorney's Deposit Account No. 23-1951 was charged twice in the amount of \$225.00 (totaling \$450.00 for extension for response within the second month small entity fee), and twice in the amount of \$395.00 (totaling \$790.00 request for continued examination small entity fee). A copy of the deposit account statement showing these charges is enclosed.

Based on the above, a refund of \$620.00 is in order. Please credit Attorney's deposit account No. 23-1951 the amount of \$620.00. Acknowledgment of receipt is respectfully requested.

Respectfully submitted,



John S. Hilten  
Reg. No. 52,518

Date: December 7, 2006

McGuire Woods LLP  
1750 Tysons Boulevard, Suite 1800  
McLean, VA 22102-4215  
Telephone No.: 703-712-5069  
Facsimile No.: 703-712-5196

V4297006.1



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Page**Deposit Account Statement**

Requested Statement Month: November 2006  
 Deposit Account Number: 231951  
 Name: MCGUIREWOODS, LLP  
 Attention: CAROLYN DOEPP/JONI POWELL  
 Address: 1750 TYSONS BOULEVARD  
 City: VIENNA  
 State: VA  
 Zip: 22102  
 Country: UNITED STATES

| DATE             | SEQ  | POSTING<br>REF TXT | ATTORNEY<br>DOCKET<br>NBR | FEE<br>CODE         | AMT            | BAL        |
|------------------|------|--------------------|---------------------------|---------------------|----------------|------------|
| 11/01            | 2079 | 60863796           | 2041488-5012PR            | 2005                | \$100.00       | \$4,900.00 |
| 11/02            | 7    | PCT/US0638772      |                           | 9204                | -\$2,798.00    | \$7,698.00 |
| ★ 11/03          | 1074 | 10774683           | 05500008US                | 2252                | \$225.00       | \$7,473.00 |
| ★ 11/03          | 1075 | 10774683           | 05500008US                | 2801                | \$395.00       | \$7,078.00 |
| ★ 11/03          | 159  | 10774683           | 05500008US                | 2252                | \$225.00       | \$6,853.00 |
| ★ 11/03          | 160  | 10774683           | 05500008US                | 2801                | \$395.00       | \$6,458.00 |
| 11/06            | 618  | 60864261           | 2009618-5004PR            | 2005                | \$100.00       | \$6,358.00 |
| 11/14            | 375  | 11277311           | 2048501-5001US            | 8021                | \$40.00        | \$6,318.00 |
| 11/15            | 8    | 60794125           | 2048119-500IPR            | 8021                | \$40.00        | \$6,278.00 |
| 11/16            | 43   | 11523605           | 2044957-5006US            | 8021                | \$40.00        | \$6,238.00 |
| 11/17            | 1414 | 09648877           | 5169.00001                | 1252                | \$450.00       | \$5,788.00 |
| 11/17            | 1415 | 09648877           | 5169.00001                | 1801                | \$790.00       | \$4,998.00 |
| 11/20            | 1772 | 60866359           | 1100550-5028PR            | 2005                | \$100.00       | \$4,898.00 |
| 11/20            | 2032 | 60866375           | 1100550-5029PR            | 2005                | \$100.00       | \$4,798.00 |
| 11/20            | 2108 | 60866382           | 2040201-5001PR            | 2005                | \$100.00       | \$4,698.00 |
| 11/27            | 98   | 11452920           | 2045698-5002US            | 8021                | \$40.00        | \$4,658.00 |
| 11/30            | 960  | 60867680           | 2008207-5005PR            | 1005                | \$200.00       | \$4,458.00 |
| START<br>BALANCE |      |                    | SUM OF<br>CHARGES         | SUM OF<br>REPLENISH | END<br>BALANCE |            |
| \$5,000.00       |      |                    | \$3,340.00                | \$2,798.00          | \$4,458.00     |            |

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### Electronic Acknowledgement Receipt

|   |  |
|---|--|
| <b>EF6 ID:</b>                              | 1290029  |
| <b>Application Number:</b>                  | 10774683   |
| <b>International Application Number:</b>    |  |
| <b>Confirmation Number:</b>                 | 7374   |
| <b>Title of Invention:</b>                  | Rapidly self-assembled thin films and functional decala  |
| <b>First Named Inventor/Applicant Name:</b> | Jennifer Hoyt Lall   |
| <b>Correspondence Address:</b>              | McGuireWoods, LLP<br>-<br>Suite 1800<br>1750 Tysons Blvd.<br>McLean VA 22102<br>US 7037125298<br>- |
| <b>Filer:</b>                               | John Shadrick Hilton   |
| <b>Filer Authorized By:</b>                 |  |
| <b>Attorney Docket Number:</b>              | 05500008US   |
| <b>Receipt Date:</b>                        | 02-NOV-2006  |
| <b>Filing Date:</b>                         | 10-FEB-2004  |
| <b>Time Stamp:</b>                          | 17:11:38   |
| <b>Application Type:</b>                    | Utility  |

### Payment Information:

|   |        |
|---|--------|
| <b>Submitted with Payment</b>                   | yes    |
| <b>Payment was successfully received in RAM</b> | \$ 620 |
| <b>RAM confirmation Number</b>                  | 471    |

|   |        |
|---|--------|
| Deposit Account   | 231951 |
| The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:<br>Charge any Additional Fees required under 37 C.F.R. Section 1.16 and 1.17 |        |

**File Listing:**

| Document Number | Document Description                    | File Name                          | File Size(Bytes) | Multi Part (.zip) | Pages (if appl.) |
|-----------------|---|------------------------------------|------------------|-------------------|------------------|
| 1               | Request for Continued Examination (RCE) | 1100550-5012US-RCE-Transmittal.pdf | 77933            | no                | 1                |

**Warnings:**

This is not a USPTO supplied RCE SB30 form.

**Information:**

|   |                   |                                |       |    |   |
|---|-------------------|--------------------------------|-------|----|---|
| 2 | Extension of Time | 1100550-5012US-ReqTwoMoEOT.pdf | 35298 | no | 1 |
|---|-------------------|--------------------------------|-------|----|---|

**Warnings:**

**Information:**

|   |                       |                                   |        |    |    |
|---|-----------------------|-----------------------------------|--------|----|----|
| 3 | Amendment After Final | 1100550-5012US-Resp-Amend-114.pdf | 671202 | no | 14 |
|---|-----------------------|-----------------------------------|--------|----|----|

**Warnings:**

**Information:**

|   |                         |              |      |    |   |
|---|-------------------------|--------------|------|----|---|
| 4 | Fee Worksheet (PTO-875) | fee-info.pdf | 8325 | no | 2 |
|---|-------------------------|--------------|------|----|---|

**Warnings:**

**Information:**

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|-------------------------------------|---------------|
| <b>Total Files Size (in bytes):</b> | <b>792758</b> |
|-------------------------------------|---------------|

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**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.63(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.64) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DOE/O/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

| Electronic Patent Application Fee Transmittal |          |   |        |                      |
|---|----------|---|--------|----------------------|
| Application Number:                           |          | 10774683  |        |                      |
| Filing Date:                                  |          | 10-Feb-2004   |        |                      |
| Title of Invention:                           |          | Rapidly self-assembled thin films and functional decals |        |                      |
| First Named Inventor/Applicant Name:          |          | Jennifer Hoyt LaBl                                      |        |                      |
| Filer:  |          | John Shadrick Hilton/Joan Powell                        |        |                      |
| Attorney Docket Number:                       |          | 06500008US  |        |                      |
| Filed as Small Entity                         |          |   |        |                      |
| Utility      Filing Fees                      |          |   |        |                      |
| Description                                   | Fee Code | Quantity  | Amount | Sub-Total in USD(\$) |
| Basic Filing:                                 |          |   |        |                      |
| Pages:  |          |   |        |                      |
| Claims:                                       |          |   |        |                      |
| Miscellaneous-Filing:                         |          |   |        |                      |
| Petition:                                     |          |   |        |                      |
| Patent-Appeals-and-Interference:              |          |   |        |                      |
| Post-Allowance-and-Post-Issuance:             |          |   |        |                      |
| Extension-of-Time:                            |          |   |        |                      |
| Extension - 2 months with \$0 paid            | 2252     | 1   | 225    | 225                  |

| Description                       | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
|-----------------------------------|----------|----------|--------|----------------------|
| <b>Miscellaneous:</b>             |          |          |        |                      |
| Request for continued examination | 2801     | 1        | 395    | 395                  |
| <b>Total in USD (\$)</b>          |          |          |        | <b>620</b>           |

PTO/SB/30 (08-04)  
Approved for use through 07/31/2008. OMB 0651-0031  
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# Request For Continued Examination (RCE) Transmittal

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

|                        |   |
|------------------------|---|
| Application Number     | 10774683                                |
| Filing Date            | February 10, 2004                       |
| First Named Inventor   | Jennifer Hoyt LALLI                     |
| Art Unit               | 1711                                    |
| Examiner Name          | Thao T. TRAN                            |
| Attorney Docket Number | 1100650-5012US<br>(Formerly 06500008US) |

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This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 C.F.R. 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).
  - a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
    - i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
    - ii. ☐ Other: \_\_\_\_\_
  - b. ☒ Enclosed
    - i. ☒ Response and Amendment Filed Concurrently with Request for Continuing Examination under 37 C.F.R. § 1.114
    - ii. ☐ Affidavit(s)/Declaration(s)
    - iii. ☐ Information Disclosure Statement (IDS)
    - iv. ☒ Other: Patent and Fee for Extension of Time Under 37 C.F.R. § 1.136
2. **Miscellaneous**
  - a. ☐ Suspension of action on the above-identified application is requested under 37 C.F.R. 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 C.F.R. 1.17(i) required)
  - b. ☐ Other \_\_\_\_\_
3. **Fee** The RCE fee under 37 C.F.R. 1.17(e) is required by 37 C.F.R. 1.114 when the RCE is filed.
  - a. ☒ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 23-1951. I have enclosed a duplicate copy of this sheet.
    - i. ☒ RCE fee required under 37 C.F.R. 1.17(e)
    - ii. ☒ Extension of time fee (37 C.F.R. 1.136 and 1.17)
    - iii. ☒ Other: Deficiencies and/or Credits
  - b. ☐ \_\_\_\_\_
  - c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

|                    |                         |                                   |                  |
|--------------------|-------------------------|-----------------------------------|------------------|
| Signature          | <i>Richard S. Meyer</i> | Date                              | November 2, 2008 |
| Name (Print /Type) | Richard S. Meyer        | Registration No. (Attorney/Agent) | 32,541           |

## CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

|                    |          |      |  |
|--------------------|----------|------|--|
| Signature          | e-filing | Date |  |
| Name (Print /Type) |          |      |  |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

W251647

11/03/2008 WADDL1 0000057 231951 10774683

02 FC:206 395.00 DA

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Jennifer Hoyt LALLI *et al.*

Serial No.: 10/774,683

Confirmation No.: 7374

Filed: February 10, 2004

Docket No.: 1100550-5012US  
(Formerly 05500008US)

Group Art Unit: 1711

Examiner: Thao T. TRAN

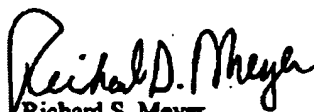
For: **RAPIDLY SELF-ASSEMBLED THIN FILMS AND FUNCTIONAL DECALS**United States Patent and Trademark Office  
Customer Service Window, Mail Stop: AF  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314PETITION AND FEE FOR EXTENSION OF TIME  
UNDER 37 C.F.R. 1.136

Sir:

Applicants hereby petition for a Two-Month Extension of Time to respond to the Final Office Action mailed on June 5, 2006.

Please charge \$225.00 (37 C.F.R. 1.17(a)(2)) to cover the (Small Entity) Two-Month Extension of Time Fee, any further deficiencies in payment and credit any overpayment to Attorney's Deposit Account No. 23-1951 (McGuireWoods LLP).

Respectfully submitted,



Richard S. Meyer

Reg. No. 32,541

Date: November 2, 2006

McGuireWoods LLP  
1750 Tysons Boulevard  
Suite 1800  
McLean, VA 22102-4215  
Telephone: 703-712-5427  
Facsimile: 703.712-5240  
[rmeyer@mcguirewoods.com](mailto:rmeyer@mcguirewoods.com)

W251601.1

11/03/2006 WABDELRI 00000057 10774683

01 FC:2252 225.00 DA

Adjustment date: 01/23/2007 SDENB081 10774683  
11/03/2006 WABDELRI 00000057 231951  
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